## Derivation of a Squared Ellipsoidal Lobe Function

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## 1 Squared Spheroidal Lobe (SSL)

To derive a squared ellipsoidal lobe (SEL) function, we start from the following squared spheroidal lobe (SSL):

$$\pi \acute{\alpha}^2 D \left(\cos \frac{\theta}{2}, \acute{\alpha}\right) = \frac{4 \acute{\alpha}^4}{\left(1 - \cos \theta + \acute{\alpha}^2 (1 + \cos \theta)\right)^2}.$$

where  $\theta$  is the angle between a direction  $\mathbf{\omega} \in S^2$  and the lobe axis  $\mathbf{\omega}_z \in S^2$ ,  $\acute{\alpha} \in [0,1]$  is the roughness of the lobe, and  $D(\cos\theta,\acute{\alpha})$  is the isotropic GGX distribution [TR75, WMLT07]. Tokuyoshi and Harada [TH17] derived  $\sqrt{\pi D\left(\cos\frac{\theta}{2},\acute{\alpha}\right)}$  is a spheroid whose center and semiaxes in the lobe space are  $\left[0,0,\frac{1-\acute{\alpha}^2}{2\acute{\alpha}}\right]$  and  $\left[1,1,\frac{1+\acute{\alpha}^2}{2\acute{\alpha}}\right]$ , respectively. Therefore, the lobe-space center  $\mathbf{c}$  and semiaxes  $\mathbf{r}$  of  $\sqrt{\pi\acute{\alpha}^2D\left(\cos\frac{\theta}{2},\acute{\alpha}\right)}$  are given by

$$\mathbf{c} = \left[0, 0, \frac{1 - \acute{\alpha}^2}{2}\right],$$

$$\mathbf{r} = \left[ \acute{\alpha}, \acute{\alpha}, \frac{1 + \acute{\alpha}^2}{2} \right].$$

## 2 Extension to a Squared Ellipsoidal Lobe (SEL)

This paper extends semiaxes **r** using anisotropic roughness parameters  $\left[\dot{\alpha}_x, \dot{\alpha}_y\right]$  as follows:

$$\mathbf{r} = \left[ \acute{\alpha}_x, \acute{\alpha}_y, \frac{1 + \acute{\alpha}_{\max}^2}{2} \right],$$

where  $\hat{\alpha}_{\max} = \max(\hat{\alpha}_x, \hat{\alpha}_y)$ . For this, the lobe-space center is

$$\mathbf{c} = \left[0, 0, \frac{1 - \acute{\alpha}_{\text{max}}^2}{2}\right].$$

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Our SEL function is given by the squared distance from the origin to this ellipsoid. Therefore, we derive the SEL using the intersection of this ellipsoid and a line. A position on this line is given by

$$\mathbf{p}=t\mathbf{\omega}$$
,

where *t* is a distance from the origin. The ellipsoid-line intersection is equivalently rewritten into the intersection of a transformed line and a unit sphere centered at the origin. For this, a position on this line is given by

$$\mathbf{p}' = (t\mathbf{\omega} - \mathbf{c}) \begin{bmatrix} \frac{1}{\hat{\alpha}_x} & 0 & 0\\ 0 & \frac{1}{\hat{\alpha}_y} & 0\\ 0 & 0 & \frac{2}{1+\hat{\alpha}_{\max}^2} \end{bmatrix} = t\mathbf{d} + \mathbf{s},$$

$$\mathbf{d} = \begin{bmatrix} \frac{x}{\hat{\alpha}_x}, \frac{y}{\hat{\alpha}_y}, \frac{2z}{1+\hat{\alpha}_{\max}^2} \end{bmatrix}, \tag{1}$$

$$\mathbf{s} = \begin{bmatrix} 0, 0, -\frac{1-\hat{\alpha}_{\max}^2}{1+\hat{\alpha}_{\max}^2} \end{bmatrix}. \tag{2}$$

where  $\omega = [x, y, z]$ . The intersection point of this line and the unit sphere is given as  $\|\mathbf{p}'\|^2 = 1$ . It is rewritten into a quadratic equation:

$$||\mathbf{d}||^2 t^2 + 2(\mathbf{d} \cdot \mathbf{s})t + ||\mathbf{s}||^2 - 1 = 0.$$

The positive solution of this equation is given by

$$t = \frac{\sqrt{(\mathbf{d} \cdot \mathbf{s})^2 - ||\mathbf{d}||^2(||\mathbf{s}||^2 - 1)} - \mathbf{d} \cdot \mathbf{s}}{||\mathbf{d}||^2}.$$
 (3)

Substituting Eq. (1) and Eq. (2) into Eq. (3), the solution is obtained as follows:

$$t = 2\frac{\left(1 + \acute{\alpha}_{\max}^{2}\right)\sqrt{\frac{\acute{\alpha}_{\max}^{2}}{\acute{\alpha}_{x}^{2}}}x^{2} + \frac{\acute{\alpha}_{\max}^{2}}{\acute{\alpha}_{y}^{2}}y^{2} + z^{2}} + z\left(1 - \acute{\alpha}_{\max}^{2}\right)}{\left(1 + \acute{\alpha}_{\max}^{2}\right)^{2}\left(\frac{x^{2}}{\acute{\alpha}_{x}^{2}} + \frac{y^{2}}{\acute{\alpha}_{y}^{2}} + \frac{4z^{2}}{(1 + \acute{\alpha}_{\max}^{2})^{2}}\right)}$$

$$= 2\acute{\alpha}_{\max}^{2}\frac{\left(1 + \acute{\alpha}_{\max}^{2}\right)\sqrt{\frac{\acute{\alpha}_{\max}^{2}}{\acute{\alpha}_{x}^{2}}x^{2} + \frac{\acute{\alpha}_{\max}^{2}}{\acute{\alpha}_{y}^{2}}y^{2} + z^{2}} + z\left(1 - \acute{\alpha}_{\max}^{2}\right)}{\left(1 + \acute{\alpha}_{\max}^{2}\right)^{2}\left(\frac{\acute{\alpha}_{\max}^{2}}{\acute{\alpha}_{x}^{2}}x^{2} + \frac{\acute{\alpha}_{\max}^{2}}{\acute{\alpha}_{y}^{2}}y^{2} + z^{2} + z\left(1 - \acute{\alpha}_{\max}^{2}\right)}\right)}$$

$$= 2\acute{\alpha}_{\max}^{2}\frac{\left(1 + \acute{\alpha}_{\max}^{2}\right)\sqrt{\frac{\acute{\alpha}_{\max}^{2}}{\acute{\alpha}_{x}^{2}}x^{2} + \frac{\acute{\alpha}_{\max}^{2}}{\acute{\alpha}_{y}^{2}}y^{2} + z^{2}} + z\left(1 - \acute{\alpha}_{\max}^{2}\right)}{\left(1 + \acute{\alpha}_{\max}^{2}\right)\sqrt{\frac{\acute{\alpha}_{\max}^{2}}{\acute{\alpha}_{x}^{2}}x^{2} + \frac{\acute{\alpha}_{\max}^{2}}{\acute{\alpha}_{y}^{2}}y^{2} + z^{2}} + z\left(1 - \acute{\alpha}_{\max}^{2}\right)}}$$

$$= 2\acute{\alpha}_{\max}^{2}\frac{\left(1 + \acute{\alpha}_{\max}^{2}\right)\sqrt{\frac{\acute{\alpha}_{\max}^{2}}{\acute{\alpha}_{x}^{2}}x^{2} + \frac{\acute{\alpha}_{\max}^{2}}{\acute{\alpha}_{y}^{2}}y^{2} + z^{2}} + z\left(1 - \acute{\alpha}_{\max}^{2}\right)}{\left(1 + \acute{\alpha}_{\max}^{2}\right)^{2}\left(\frac{\acute{\alpha}_{\max}^{2}}{\acute{\alpha}_{x}^{2}}x^{2} + \frac{\acute{\alpha}_{\max}^{2}}{\acute{\alpha}_{y}^{2}}y^{2} + z^{2}\right) - z^{2}\left(1 - \acute{\alpha}_{\max}^{2}\right)^{2}}}$$

$$= \frac{2\acute{\alpha}_{\max}^{2}}{\left(1 + \acute{\alpha}_{\max}^{2}\right)\sqrt{\frac{\acute{\alpha}_{\max}^{2}}{\acute{\alpha}_{x}^{2}}x^{2} + \frac{\acute{\alpha}_{\max}^{2}}{\acute{\alpha}_{y}^{2}}y^{2} + z^{2} - z\left(1 - \acute{\alpha}_{\max}^{2}\right)^{2}}}{\left(1 + \acute{\alpha}_{\max}^{2}\right)\sqrt{\frac{\acute{\alpha}_{\max}^{2}}{\acute{\alpha}_{x}^{2}}x^{2} + \frac{\acute{\alpha}_{\max}^{2}}{\acute{\alpha}_{y}^{2}}y^{2} + z^{2} - z\left(1 - \acute{\alpha}_{\max}^{2}\right)^{2}}}}$$

Therefore, the SEL is derived as

$$K(\boldsymbol{\omega}; \mathbf{E}, \hat{\alpha}_x, \hat{\alpha}) = t^2 = \frac{4\hat{\alpha}_{\max}^4}{\left(\left(1 + \hat{\alpha}_{\max}^2\right) \sqrt{\frac{\hat{\alpha}_{\max}^2}{\hat{\alpha}_x^2} x^2 + \frac{\hat{\alpha}_{\max}^2}{\hat{\alpha}_y^2} y^2 + z^2} - z\left(1 - \hat{\alpha}_{\max}^2\right)\right)^2}.$$

where **E** is the  $3\times3$  identity matrix. To represent the orientation of the lobe, Eq. (2) is generalized using a  $3\times3$  orthogonal matrix **Q** as follows:

$$K(\boldsymbol{\omega}; \mathbf{Q}, \hat{\alpha}_{x}, \hat{\alpha}) = \frac{4\hat{\alpha}_{\max}^{4}}{\left(\left(1 + \hat{\alpha}_{\max}^{2}\right)\sqrt{\frac{\hat{\alpha}_{\max}^{2}}{\hat{\alpha}_{x}^{2}}v_{x}^{2} + \frac{\hat{\alpha}_{\max}^{2}}{\hat{\alpha}_{y}^{2}}v_{y}^{2} + v_{z}^{2} - v_{z}\left(1 - \hat{\alpha}_{\max}^{2}\right)\right)^{2}}.$$

where  $[v_x, v_y, v_z]^T = \mathbf{Q} \mathbf{\omega}^T$  is the direction transformed into the lobe space. This SEL can also be rewritten into the following form:

$$K(\boldsymbol{\omega}; \mathbf{Q}, \acute{\alpha}_{x}, \acute{\alpha}) = \frac{4\acute{\alpha}_{\max}^{4}}{\left((U - v_{z}) + \acute{\alpha}_{\max}^{2} (U + v_{z})\right)^{2}}.$$

where 
$$U = \sqrt{\frac{\acute{\alpha}_{\max}^2}{\acute{\alpha}_x^2}v_x^2 + \frac{\acute{\alpha}_{\max}^2}{\acute{\alpha}_y^2}v_y^2 + v_z^2}$$
.

## References

- [TH17] Yusuke Tokuyoshi and Takahiro Harada. Stochastic light culling for VPLs on GGX microsurfaces. *Comput. Graph. Forum*, 36(4):55–63, 2017.
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- [WMLT07] Bruce Walter, Stephen R. Marschner, Hongsong Li, and Kenneth E. Torrance. Microfacet models for refraction through rough surfaces. In *EGSR* '07, pages 195–206, 2007.